

PTO/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 170.00

Complete if Known

Application Number	10/069,492
Filing Date	08/17/2000
First Named Inventor	Alaoui-Jamali
Examiner Name	TBA
Group Art Unit	TBA
Attorney Docket No.	SWA-002 (1011/003)

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50-2285
Deposit Account Name Wayne A Keown

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

	Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
101	740	201	370				Utility filing fee	
106	330	206	165				Design filing fee	
107	510	207	255				Plant filing fee	
108	740	208	370				Reissue filing fee	
114	160	214	80				Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims -20** = X =
Independent Claims -3** = X =
Multiple Dependent =

	Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
103	18	203	9				Claims in excess of 20	
102	84	202	42				Independent claims in excess of 3	
104	280	204	140				Multiple dependent claim, if not paid	
109	84	209	42				** Reissue independent claims over original patent	
110	18	210	9				** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

	Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65				Surcharge - late filing fee or oath	130.00
127	50	227	25				Surcharge - late provisional filing fee or cover sheet	
139	130	139	130				Non-English specification	
147	2,520	147	2,520				For filing a request for ex parte reexamination	
112	920*	112	920*				Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*				Requesting publication of SIR after Examiner action	
115	110	215	55				Extension for reply within first month	
116	400	216	200				Extension for reply within second month	
117	920	217	460				Extension for reply within third month	
118	1,440	218	720				Extension for reply within fourth month	
128	1,960	228	980				Extension for reply within fifth month	
119	320	219	160				Notice of Appeal	
120	320	220	160				Filing a brief in support of an appeal	
121	280	221	140				Request for oral hearing	
138	1,510	138	1,510				Petition to institute a public use proceeding	
140	110	240	55				Petition to revive - unavoidable	
141	1,280	241	640				Petition to revive - unintentional	
142	1,280	242	640				Utility issue fee (or reissue)	
143	460	243	230				Design issue fee	
144	620	244	310				Plant issue fee	
122	130	122	130				Petitions to the Commissioner	
123	50	123	50				Processing fee under 37 CFR 1.17(q)	
126	180	126	180				Submission of Information Disclosure Stmt	
581	40	581	40				Recording each patent assignment per property (times number of properties)	40.00
146	740	246	370				Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370				For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370				Request for Continued Examination (RCE)	
169	900	169	900				Request for expedited examination of a design application	

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 170.00

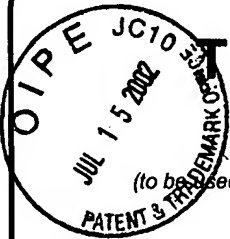
SUBMITTED BY

Name (Print/Type)	Wayne A. Keown, Ph.D.	Registration No. (Attorney/Agent)	33,923	Telephone	781-938-1805
Signature	<u>W. A. Keown</u>	Date	July 8, 2002		


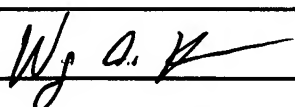
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.


Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

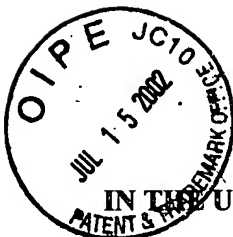
 TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10/069,492	
	Filing Date	08/17/2000	
	First Named Inventor	Alaoui-Jamali	
	Group Art Unit	TBA	
	Examiner Name	TBA	
Total Number of Pages In This Submission	10	Attorney Docket Number	SWA-002 (1011/003)

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input checked="" type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks 1. Executed Declaration of Inventors 2. Return postcard		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		 32254 PATENT TRADEMARK OFFICE
Firm or Individual name	Wayne A. Keown - Reg. No. 33,923	
Signature		
Date	July 8, 2002	

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Box PCT, Washington, DC 20231 on: 7/8/02			
Typed or printed name	Laura abier		
Signature		Date	7/8/02

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Rec'd PCT/PTO 15 JUL 2002

#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Alaoui-Jamali et al.
Serial No.: 10/069,492
Filed: August 17, 2000
Entitled: ANTINEOPLASTIC EXTRACT FROM ACHILLEA MILLEFOLIUM
Examiner: Not Yet Assigned
Group Art Unit:
Attorney Docket No.: SWA-002 (1011/003)

Commissioner for Patents
Box PCT
U.S. Patent & Trademark Office
Washington, DC 20231

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN
THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

Sir:

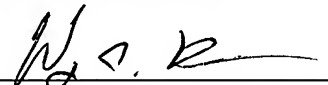
In response to the Notification of Missing Requirements mailed May 10, 2002, filing date granted, Applicant submits the following documents for filing in the above-referenced patent application:

1. A copy of the Notification of Missing Requirements;
2. A properly signed Declaration and Power of Attorney in compliance with 37 C.F.R. §1.163; and
3. Surcharge fee as requested in the total amount of \$130.00

Enclosed is a self-addressed, postage prepaid postcard. Please date-stamp the postcard indicating timely receipt by the U.S. Receiving Office of the subject documents, and return it to us for our files.

Respectfully submitted

Dated: July 8, 2002


Wayne A. Keown, Ph.D.
Law Offices of Wayne A. Keown
500 West Cummings Park - Suite 1200
Woburn, MA 01801
781-938-1805 (telephone)
781-938-4777 (fax)

07/18/2002 10:00AM 00000108 502285 10069492

01 FC:154

07/18/2002 NKAYPAGH 00000108 502285 10069492

01 FC:154

10.00 CH

120.00 OP



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/069,492	Moulay Alaoui-Jamali	SWA-002US

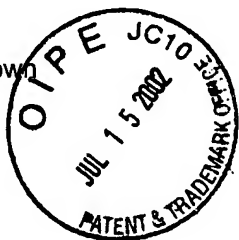
INTERNATIONAL APPLICATION NO.

PCT/CA00/00949

I.A. FILING DATE	PRIORITY DATE
------------------	---------------

08/17/2000

Law Offices of Wayne A Keown
500 West Cummings Park
Suite 2900
Woburn, MA 01801



CONFIRMATION NO. 3246

371 FORMALITIES LETTER



OC000000008050846

Date Mailed: 05/10/2002

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report

RECEIVED
MAY 16 2002
BY: *md*

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

DOCKETED
DUE : 6/10/02
FINAL! 7/10/02

- \$130 Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

BARBARA A CAMPBELL

Telephone: (703) 305-3631

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/069,492	PCT/CA00/00949	SWA-002US

FORM PCT/DO/EO/905 (371 Formalities Notice)